





ONC FAST Birds of a Feather Building the Infrastructure Needed to Reach The Interoperability Destination



## **Presenters – ONC Lead & FAST Chief Architects**



Stephen Konya
Senior Advisor to the Deputy
National Coordinator for Health IT
HHS/ONC

ONC Lead, FHIR at Scale Taskforce (FAST)



Paul Oates
Senior Enterprise Architect,
Cigna/Evernorth

FAST Chief Architect



Patrick Murta
Chief Interoperability
Architect & Fellow
Humana

FAST Chief Architect





## **FAST** Taskforce Antitrust Notice



- The ONC FHIR At Scale Taskforce (FAST)
   (Hereinafter "Taskforce") is committed to full compliance with existing federal and state antitrust laws.
- All members involved in the Taskforce effort, including its advisory groups, will comply with all applicable antitrust laws during the course of their activities. During Taskforce meetings and other associated activities, including all informal or social discussions, each member shall refrain from discussing or exchanging competitively sensitive information with any other member. Such information includes, but may not be limited to:
  - Price, premiums, or reimbursement charged or paid for products or services
  - Allocation of customers, enrollees, sales territories, sales of any products or contracts with providers
  - Any other competitively sensitive information that is proprietary to a member company
- If you have any specific questions or concerns, seek guidance from your own legal counsel.
- Members should not bring confidential information or intellectual property (hereinafter "Intellectual Property")
  owned by their respective member companies into Taskforce meetings. To the extent such Intellectual Property
  is shared with the Taskforce that shall not be construed as a waiver of member company's rights to, or ownership
  in, the Intellectual Property.





- What is FAST?
- Big FAST News!
- Overview of FAST's Work
- FAST Action Plan Snapshot
- Get Involved



## What is FAST?

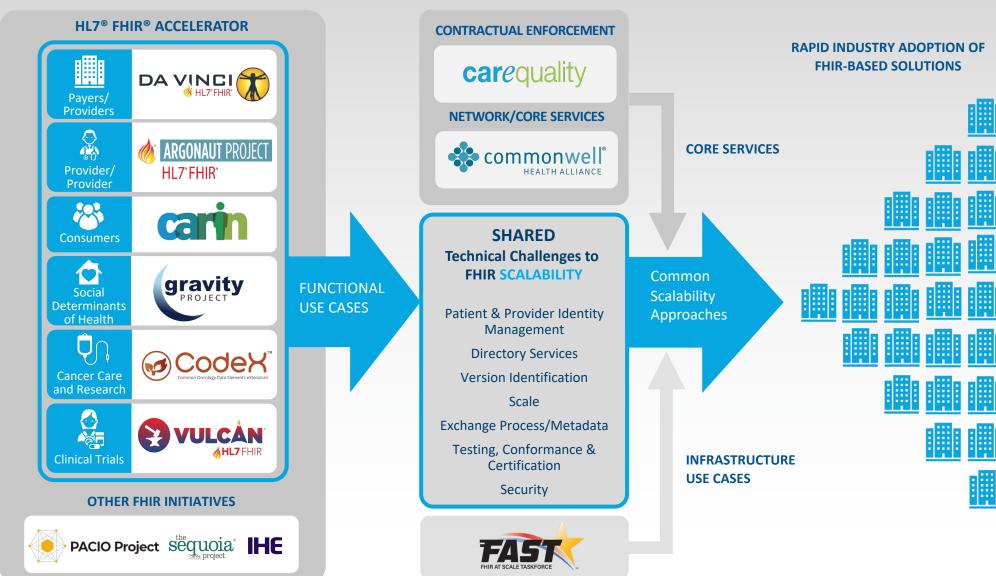


The FHIR at Scale Taskforce (FAST), convened by the Office of the National Coordinator for Health IT (ONC), brings together a highly representative group of motivated healthcare industry stakeholders and health information technology experts.

The group is set to identify HL7® Fast Healthcare Interoperability Resources (FHIR®) scalability gaps and possible solutions, analysis that will address current barriers and will accelerate FHIR adoption at scale.



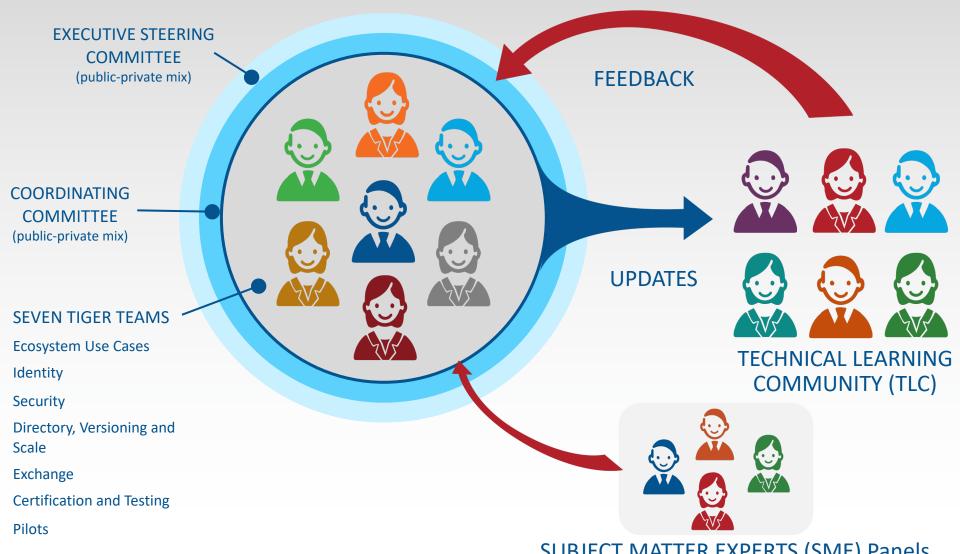
## **Collaborative Efforts Towards FHIR Adoption**



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## FAST Organization & Community Engagement





#### **Information Sharing** with TLC through:

- Website
- **Periodic Webinars**
- **Newsletters**
- **TLC Meetings**
- LinkedIn Group



## **Lack of Consistent Infrastructure Impacts Flow**





## **Well Planned Infrastructure Creates Efficiency**











## FAST Transition to an HL7 FHIR Accelerator

- FAST has been exploring paths forward for some time we've done our homework
- Socialized the idea with FAST Members and more broadly in various HL7 groups and public meetings with positive reception
- ONC and CMS support this transition
- A transition work group has been formed

## Officially announced yesterday during the HL7 Plenary!



## **FAST** Transition Workgroup



Stakeholder	Representatives	
Workgroup Lead	TBD	
ONC	Stephen Konya & Alex Kontur, ONC FAST Leads	
CMS	Alex Mugge, CMS HIIG or designee	
HL7	Wayne Kubick, HL7 CTO	
FAST Leadership	Patrick Murta, FAST Chief Architect Paul Oates, FAST Chief Architect Bob Dieterle, FAST Coordinating Committee Member, DVS Tiger Team Co-Lead Alix Goss, FAST ESC Member, DVS Tiger Team Co-Lead Ashish Atreja, FAST ESC Member Lenel James, FAST ESC Member Deepak Sadagopan, FAST ESC Member Hans Buitendijk, FAST ESC Member	
Workgroup PM Support	Dana Marcelonis, FAST PM Support	

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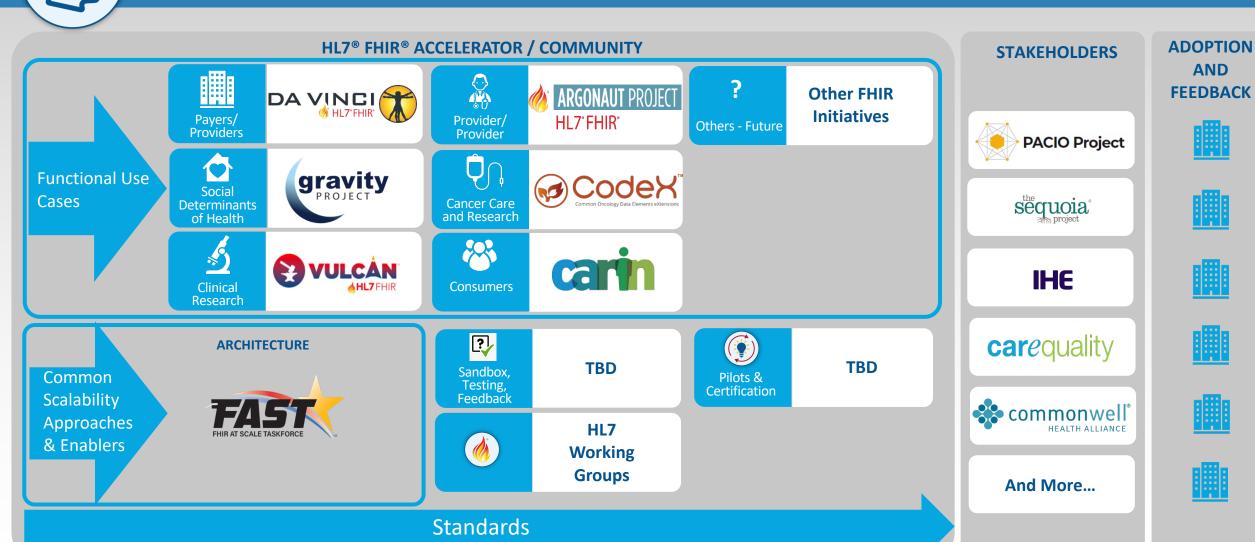
## **FAST** Transition Working Group



- Cross-stakeholder team to build the plan
  - Define scope of FAST as Accelerator
  - Finalize Accelerator structure operating model and governance framework
  - Finalize Accelerator funding model
  - Develop initial financial plans
  - Develop communications plan
  - Recruit initial members
  - Establish initial PMO
  - Begin transition process
- FAST will continue the work already in progress through transition



## FAST as FHIR Accelerator - What Could It Look Like?



**HL7 Governance Structure** 

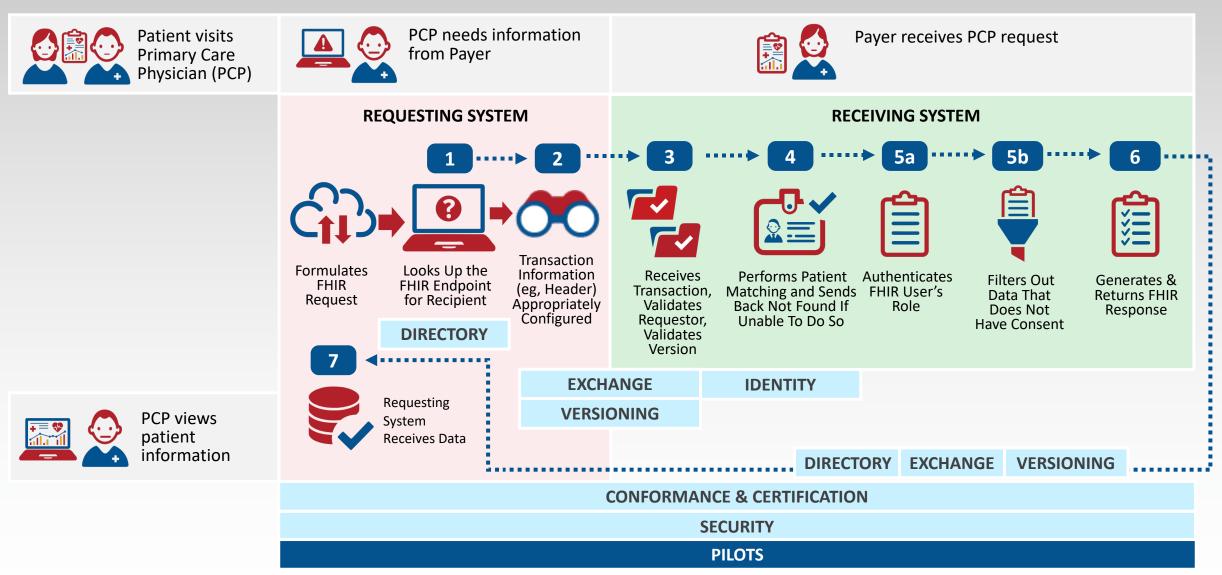
Government Participation/ Contributions (ONC, CMS, CDC, VA, DoD, etc.)







### **Example FHIR Transaction Journey**



PM



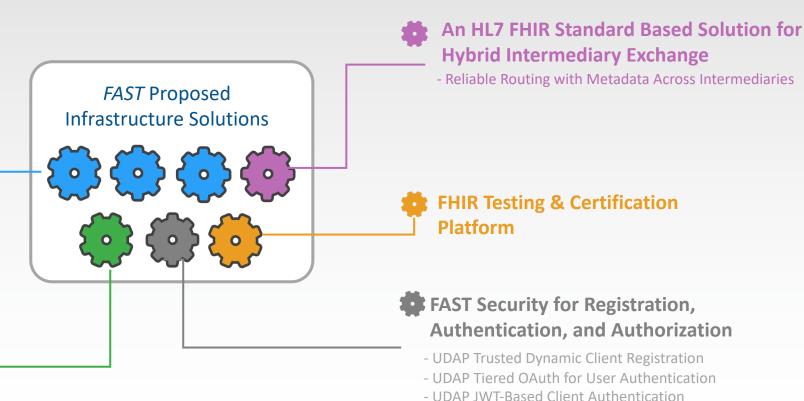
## **FAST Proposed Solutions**

- Directory, Version & Scale (3) Identity (4) Exchange Process (1) Testing & Certification (1) Security (4)

  A US Wide Solution for a
- A US Wide Methodology for Supporting Multiple Production Versions of FHIR

**National Healthcare Directory** 

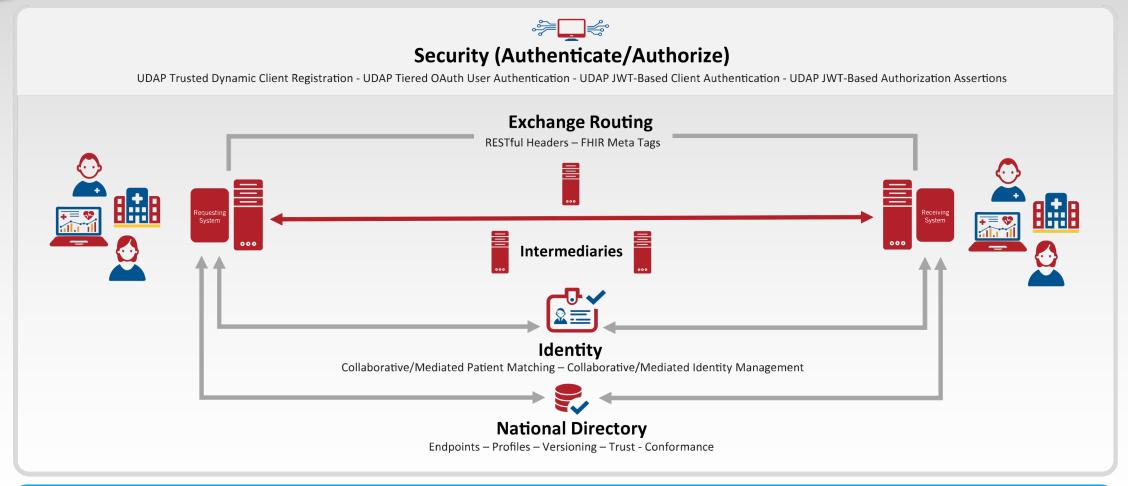
- US Wide Scaling Requirements for FHIR RESTful Exchange Intermediaries
- National Standards Based Approaches for Individual Identity Management
  - Mediated Patient Matching
  - Collaborative Patient Matching
  - Networked Identity Management
  - Distributed Identity Management



- UDAP JWT-Based Authorization Assertions



## **Conceptual Integrated Architecture**



**CONFORMANCE & CERTIFICATION (Testing & Certification Program)** 

PILOTS (FAST Capability Vetting with Existing HL7 Accelerators)









## **Overview and status - National Healthcare Directory**



#### **BARRIER**

The industry lacks a generally available method to find all FHIR endpoints and their associated capabilities and attributes, as well as a common process for maintaining the information and validating its accuracy



#### **SOLUTION**

One national source for validated directory information that is available to any national or local directory workflow environment



#### **IN SCOPE**

Individual and entity demographics to determine endpoint relationships, computable endpoint information such as accessibility requirements, metadata for routing, trust framework, implementation guides and certification status

Federated access by HIEs, state directories, EHRs

A FHIR standard implementation guide for use of the directory



#### **OUT OF SCOPE**

Manual / portal access, creation of a trust framework, non-FHIR related endpoints, application certification process



#### Targeting 2022 Ballot Cycle

Incorporating feedback from industry stakeholders



#### **OPEN ITEMS**

Define the minimum viable product (MVP) and outline the incremental steps/roadmap to build a directory of endpoints

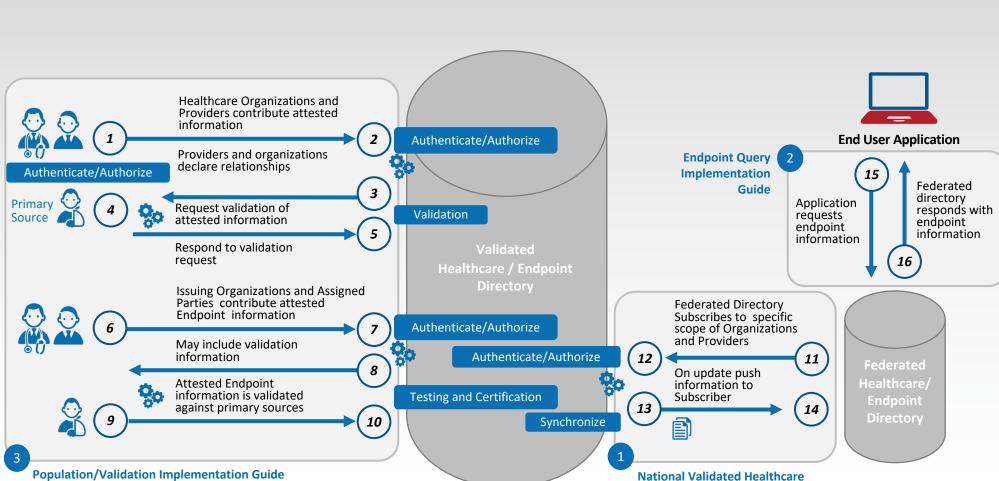


#### **CURRENT SOLUTION**

<u>FAST Endpoint directory</u> <u>proposed solution document</u> (version 3)



## **National Healthcare Directory**





#### **Project Page:**

National Healthcare
Directory

## Connectathon Track Page:

2021-09 National
Directory US Realm
(ONC FHIR at Scale
Taskforce – FAST)

Project Scope
Statement: National
Healthcare Directory
PSS

PM

**Directory Implementation Guide** 





## Overview and status - Scalable Security Solutions



#### **BARRIER**

Today, we have limitations on our ability to ensure, in a scalable way, that the requestor of information using a FHIR based information exchange is appropriately authenticated and has the authorization to see the data requested. Current registration processes are manual and too timeconsuming to support expected growth



#### **SOLUTION**

Leverage existing credentials and authorizations and best practice standards to establish common security processes that facilitate automated exchange and reuse existing infrastructure where possible



#### **IN SCOPE**

Trusted Dynamic Client Registration using Unified Data Access Profiles (UDAP)

JWT-Based Client Authentication & Authorization



#### **OUT OF SCOPE**

**Directory for Endpoint** Discovery, Trust Policy Governance, Requirements for a specific architecture, Patient/provider or provider/patient



#### **STATUS**

Published IG for HL7 Sept 2021 STU Ballot



#### **OPEN ITEMS**

**Ballot** reconciliation Continue testing at Connectathons



#### **CURRENT SOLUTION**

FAST Security proposed solution document (version 3 in progress)



## Security for Registration, Authentication, and Authorization

#### <u>UDAP JWT-Based</u> <u>Client Authentication:</u>

Uses asymmetric cryptography to authenticate client apps

#### **UDAP Server Metadata:**

Endpoint validation for added confidence

#### <u>UDAP Trusted</u> Dynamic Client Registration:

Identify and dynamically register trusted client applications, streamlining app management



#### <u>UDAP JWT-Based</u> Authorization Assertions:

Extensible JWT-based client authorization grants & other claims incidental to a token request

<u>UDAP Certifications &</u> <u>Endorsements</u>: Trusted informational assertion

#### **UDAP Tiered OAuth:**

Reusable identities via scalable, dynamic, cross organizational use

Project Page: <u>FAST</u>: <u>Scalable</u>
<u>Registration</u>, <u>Authentication</u>, <u>and</u>
<u>Authorization for FHIR Ecosystem</u>
Participants

#### **Connectathon Track Page:**

2021-09 ONC FAST Security & Identity

#### **Project Scope Statement:**

Scalable Registration,
Authentication,
and Authorization for FHIR
Ecosystem Participants

#### **Balloted Implementation Guide:**

Scalable Registration,
Authentication, and
Authorization – Sept STU Ballot

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## Security for Registration, Authentication, and Authorization

#### Now What?

- Continue to engage the community in further solution development, testing
- Ongoing collaboration with Carequality, CommonWell, and other stakeholders/implementers
- Continue to participate in HL7
   Connectathons
- Incorporate community input from Connectathon testing and ballot comments

Join our public working sessions on the 2<sup>nd</sup> and 4<sup>th</sup> Tuesdays each month for ballot reconciliation – view the <u>project page</u> for details

HL7 Milestone	Due Date
Project Proposal	✓
Project Scope Statement (PSS)	✓
FHIR IG Proposal	✓
Notice of Intent to Ballot (NIB)	$\checkmark$
Ballot Signup Starts	✓
Final IG Content	$\checkmark$
Ballot Signup Ends	✓
Ballot Voting Starts	$\checkmark$
Ballot Voting Ends	$\checkmark$
Ballot Reconciliation Begins	Sept 2021

5 PM







## Overview and Status - Hybrid/Intermediary Exchange



FHIR information exchange is typically performed "point-to-point" between trusted system endpoints. Because healthcare participants may also wish to leverage intermediaries in FHIR exchanges, a solution for conveying routing metadata is needed



#### **SOLUTION**

Employ standard
methods to convey routing
information
between exchange
intermediaries



#### **IN SCOPE**

Exchange using intermediaries in addition to point-to-point connections

Methods for exchanging routing information among participating intermediaries



#### **OUT OF SCOPE**

Value set defining exchange identifiers

Capturing provenance information from exchange through multiple intermediary "hops"



#### **STATUS**

Targeting HL7 Jan 2022 STU Ballot



#### **OPEN ITEMS**

Continue testing proposed technical approaches during Connectathons



#### **CURRENT SOLUTION**

<u>FAST Exchange solution</u> <u>document</u> (v3)

Incorporating industry feedback into draft HL7 Implementation Guide



## **FAST** Exchange Barriers to FHIR Scalability





#### **EXCHANGE BARRIERS**



#### **IMPLICATIONS**

USE OF DIFFERENT IDENTIFIERS

The metadata will need to have a standardized identifier set and attribute name (NPI, Payer ID, etc.)

**→** 

Routing engines will expect consistent attribute and identifier sets to route information

SYNCHRONOUS & ASYNCHRONOUS MODELS

Both models need to be supported with reliable routing

**→** 

The model will need to support both push and pull models in synchronous and asynchronous patterns

HYBRID ENVIRONMENTS

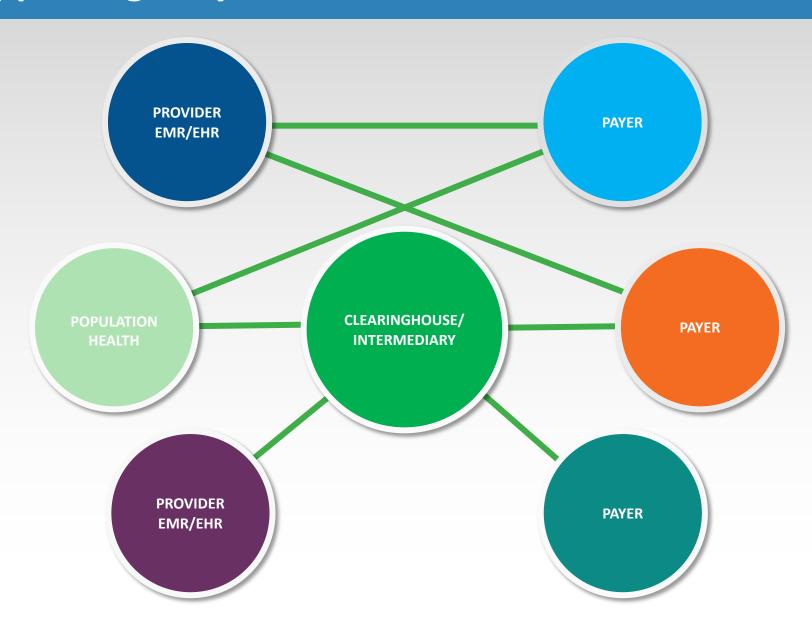
The environment will consist of both dynamic point to point & intermediary models

**→** 

The model must support transactions over both dynamic point to point and intermediary brokered models

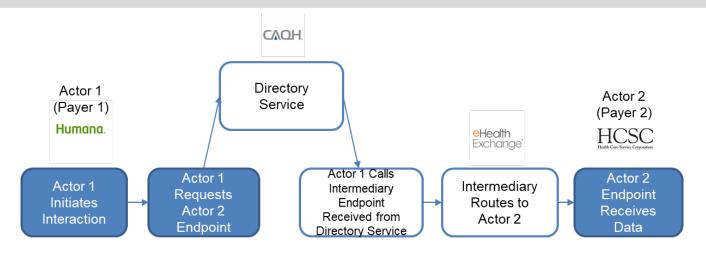


## **Supporting a Hybrid Model**





## Hybrid/Intermediary Exchange (Exchange With or Without Intermediaries)



Project Page: <u>FAST Hybrid/Intermediary</u>

Exchange

Connectathon Track Page: <u>2021-09 ONC FAST –</u>

**Hybrid/Intermediary Exchange** 

**Project Scope Statement: PSS for FAST Exchange** 

Metadata

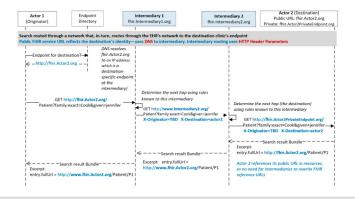
**Draft IG:** Hybrid/Intermediary Exchange

#### **Past HL7 Connectathons**

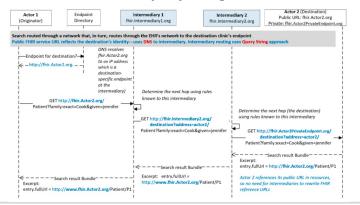
- Jan 2021 HTTP Header Model
- May 2021 Query String vs. DNS Model
- July 2021 (CMS) DNS vs. App Path Models

#### **Sept 2021 Connectathon**

Test Scenario 1: Route between two
Intermediaries using routing information
contained in HTTP Header Parameters



Test Scenario 2 (optional): Route between two Intermediaries using routing information contained in a URL query string



30 PM



## Hybrid/Intermediary Exchange (Exchange With or Without Intermediaries)

#### **Now What?**

- Incorporate community feedback/discussion into IG development
- Continue to engage the community and intermediaries in further solution development, testing
- Continue to participate in HL7
   Connectathons
- Finalize the Implementation Guide

Join our public working sessions on the 1<sup>st</sup> and 3<sup>rd</sup> Tuesdays each month – view the project page for details

HL7 Milestone	Due Date
Project Proposal	$\checkmark$
Project Scope Statement (PSS)	$\checkmark$
FHIR IG Proposal	$\checkmark$
Notice of Intent to Ballot (NIB)	October 31, 2021
Ballot Signup Starts	November 8, 2021
Final IG Content	December 5, 2021
Ballot Signup Ends	December 8, 2021
Ballot Voting Starts	December 10, 2021
Ballot Voting Ends	January 9, 2022









## Overview and status - Identity Management



#### **BARRIER**

The industry currently employs a range of patient matching and identity management processes with inconsistencies and limited scalability as volume and the number of participants increase



#### **SOLUTION**

Establish a set of patient matching and identity management patterns and best practices that the industry can adopt to reduce the variations that exist today and provide a bridge to new approaches in the future



#### **IN SCOPE**

Patient matching during payer/provider interactions: Mediated Patient Matching

Patient-directed workflows focusing on identity management: Networked **Identity Management** 



#### **OUT OF SCOPE**

Patient as a requester or responder, contractual arrangements. (Security and directory considerations are addressed by other FAST solutions)



#### **STATUS**

Targeting 2022 Ballot Cycle

Incorporating feedback from industry stakeholders



#### **OPEN ITEMS**

Define Implementation Guide scope and test proposed solutions during Connectathons



#### **CURRENT SOLUTION**

FAST Identity proposed solution document (version 3 in progress)

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## **Solution Options: Low to High Complexity**

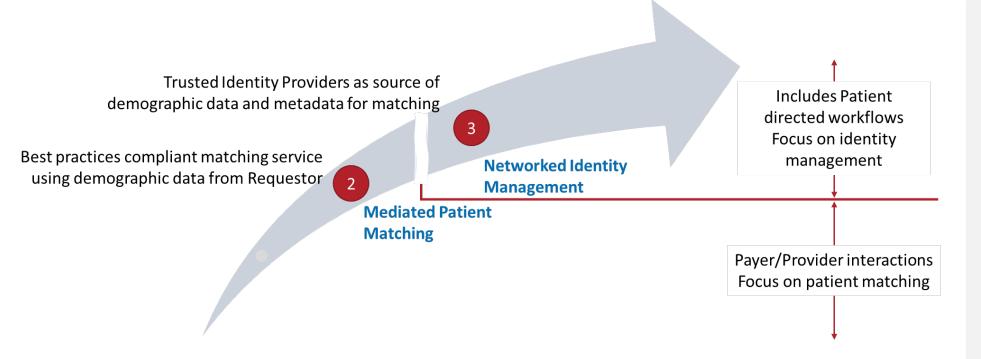
#### Multiple options progressing from lower to higher complexity (technical and process)

Patient directed access to identity and demographic data, support for multiple identities Trusted Identity Providers as source of **Includes Patient** demographic data and metadata for matching directed workflows **Distributed Identity** Focus on identity Management Best practices compliant matching service management **Networked Identity** using demographic data from Requestor Management **Mediated Patient** Current state enhanced Matching with best practices Payer/Provider interactions Eg, roster exchanges **Collaborative Patient** Focus on patient matching **Matching** 



## Interoperable Digital Identity & Patient Matching Capabilities

Solutions 2 and 3 have been prioritized for further development as they are the most likely to be implementable in the near future.



#### **Project Page:**

<u>Interoperable Digital Identity & Patient Matching Capabilities</u>

<u>Project Page</u>

#### **Connectathon Track Page:**

Aspects of the proposed Identity solutions are being tested in the <a href="2021-09">2021-09 ONC FAST Security & Identity track</a>

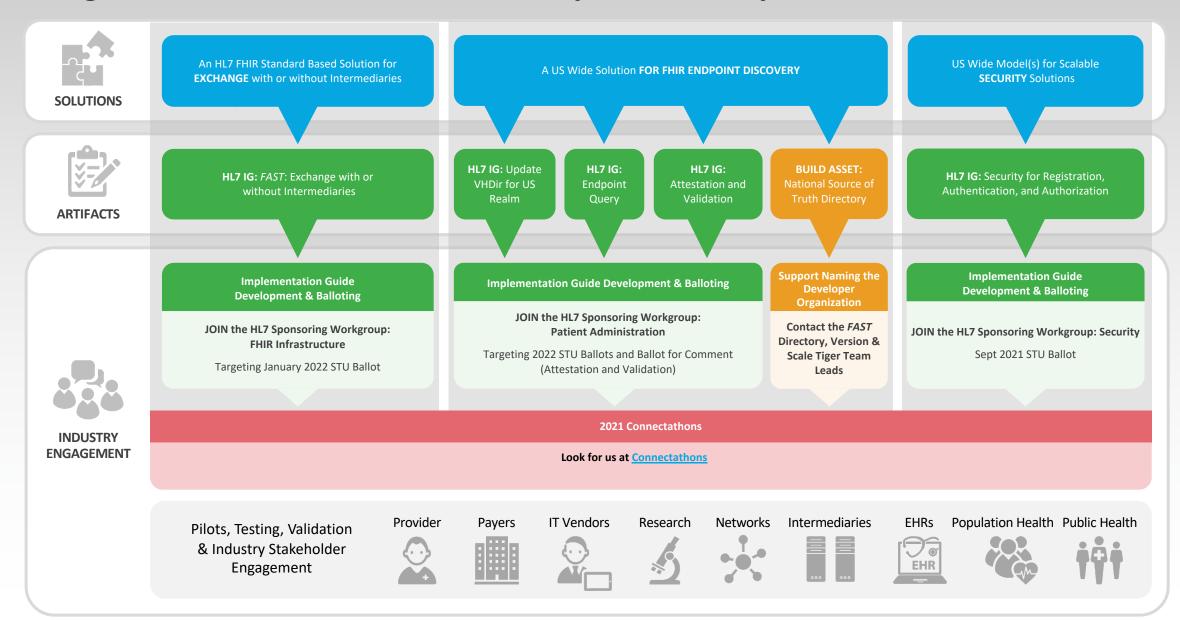
#### **Project Scope Statement (PSS):**

Improving Identity Assurance and Patient Match Quality through Interoperable Digital Identity and Patient Matching Capabilities

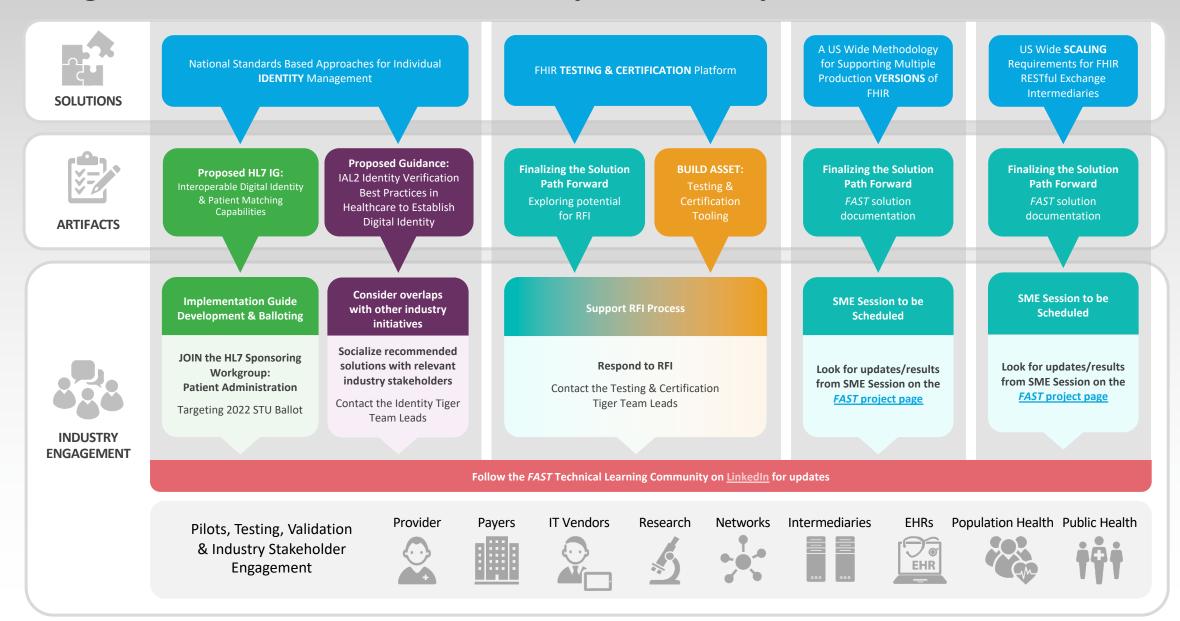




## **Moving Solutions to Standards Development & Implementation Readiness**



### **Moving Solutions to Standards Development & Implementation Readiness**



Guidance

Solutions

Standards

Tool/Asset

**Under Development** 

Testing/Pilots







Speaker: Stephen Konya

## Simple Ways to Join the FAST Work

## FAST: Hybrid/Intermediary Exchange

# FAST: Scalable Registration, Authentication, and Authorization for FHIR Ecosystem Participants

#### **FAST**: National Directory

**FAST:** Interoperable Digital Identity & Patient Matching

- HL7 Project page

   https://confluence.hl7.org/dis
   play/FHIRI/FHIR+at+Scale+%28

   FAST%29%3A+Exchange+with+
   or+without+Intermediaries
- Public meetings the 1<sup>st</sup> and 3<sup>rd</sup>
   Tuesdays each month from 1-2
   PM ET
   https://global.gotomeeting.co
  - https://global.gotomeeting.co m/join/262996117
- Chat.fhir stream
   https://chat.fhir.org/#narrow/s
   tream/264775-FHIR at.20Scale.20(FAST).3A.20Exch
   ange.20with.2Fwithout.20inter
   mediaries

- HL7 Project Page
   https://confluence.hl7.org/display
   /SEC/FAST%3A+Scalable+Registrati
   on%2C+Authentication%2C+and+
   Authorization+for+FHIR+Ecosyste
   m+Participants
- Public meetings the 2<sup>nd</sup> & 4<sup>th</sup>
  Tuesdays each month at 2PM
  ET
  - https://global.gotomeeting.co m/join/784708421
- Chat.fhir stream
   https://chat.fhir.org/#narrow/s
   tream/294749-FHIR-at.20Scale.20Taskforce.20.28F
   AST.29.3A.20Security

- HL7 Project Page
   https://confluence.hl7.org/dis
   play/PA/National+Healthcare+
   Directory
- Public meetings Thursdays at 11 am ET https://zoom.us/j/9842423290 6?pwd=dHV0T2hrN3o1Q1NQV TNHaTVaQURSQT09
- Chat.fhir stream
   https://chat.fhir.org/#narrow/s
   tream/283066-united-states.2Fnational.20directory

- HL7 Project Page
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- Chat.fhir stream
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   tream/294750-FHIR at.20Scale.20Taskforce.20.28F
   AST.29.3A.20Identity

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## **FAST Artifacts and Resources**



## Want to learn more about the FAST HL7 FHIR Accelerator?

Contact fast@hl7.org

## CONTINUE THE CONVERSATION!

Join the Technical Learning Community to stay up to date – receive updates about FAST presentations & events, provide additional input and follow our progress.

- JOIN THE LINKEDIN GROUP
- EXPLORE FAST ACTION PLAN
- SIGN UP FOR THE TLC



## **Thank You – Today's Presenters**



## Stephen Konya ONC – FAST Lead

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For more information on the FAST Initiative, visit the FAST Project Page

Have any further questions/suggestions?

Please contact <u>fast@hl7.org</u>