



High Impact Pilots (HIP) and Standards Exploration Awards (SEA) Cooperative Agreement Program

ONC Interoperability in Action Day Monday, March 20, 2017



HIP/SEA Program Objectives

- Focus on addressing interoperability through implementation of Technology Solutions
- Support increased use of health information technology solutions
- Incentivize use of standards from the Interoperability Standards Advisory (ISA) and newly emerging standards
- Lessons learned, and evidence generated, by these Cooperative Agreements will help advance industry understanding of health IT's potential



Priority Categories and Subcategories:

- Comprehensive Medication Management
 - Drug Cost at Care
 - Opioid
- Care Coordination
 - Care Plan
 - Closed-Loop Referral
- · Labs
 - Full-Loop Labs
- Self-Identified



Impact Dimensions

- 1. Practice Efficiency
- 2. Safety
- 3. Privacy & Security
- 4. Clinical Quality
- 5. Patient Experience
- 6. Cost Efficiency
- 7. Interoperable Exchange





FOA Framework

					mpact Dime	nsions		
Priority Category	Sub Category	Practice Efficiency	Safety	P&S	Clinical Quality	Patient Experience	Cost Efficiency	Interoperable Exchange
Comprehensive	Drug Cost at Care							
Management	Opioid							
Laboratory Data Exchange	Full-Loop Labs							
	Care Plan							
Care Coordination	Closed- Loop Referral							
Self-Identified	N/A							
Award Require	ements	High Impact P Standards Exp award) Must first loo justify rationa Must complet	Pilots Awardo ploration Aw k to using st ile te pilot with	ees must add vardees wou andards in tl in 12-month	dress 3 out c ld need to a he Interoper period of pe	of 7 Impact Din ddress 1 out o rability Standa erformance	mensions (\$10) of 7 Dimensions ords Advisory a	0K - \$500K) s (\$50K-100K/ nd, if not,



High Impact Pilots (HIP)

Awardee	Project	Budget
The Health Collaborative	The Heartland Pilot is a partnership between The Health Collaborative and the Strategic Health Information Exchange Collaborative (SHIEC). It will use existing standards to advance a "network of networks" model as part of a Patient-Centered Data Model pilot project.	\$269,995
Lantana Consulting Group	This project focuses on the implementation, testing, and refinement of the C-CDA and C-CDA on FHIR Care Plan for pharmacists (ePhCP). In this project, Lantana is partnering with the Community Care of North Carolina (CCNC) and two pharmacy management system vendors, PioneerRx and QS/1 to pilot the integration of pharmacist care plans into coordination efforts for patient care across the continuum.	\$257,013
RxREVU Inc.	This collaborative project between RxREVU, a Denver-based prescription intelligence company, and the Banner Health System plans to leverage patient-specific data shared via FHIR to reduce overall prescription drug spending, provide useful information on patient medication adherence, and operationalize organizational best practices.	\$315,943
University of Utah	This community primary care project will allow clinicians and the University of Utah's vascular surgery service that use common electronic health record (EHR) platforms to share information through a novel closed-loop surgical referrals dashboard application. This app will be designed to integrate with commercially available EHRs using the emerging SMART on FHIR.	\$404,110

The Office of the National Coordinator for Health Information Technology

High Impact Pilots (HIP) – Continued

Awardee	Priority Category/ Subcategory	lm Dii	pact mensions	Standards
The Health Collaborative	(3) Care Coordination	1) 2) 3)	Safety Privacy and Security Interoperable Exchange	ADT, CCD, IHE
Lantana Consulting Group	(3) Care Coordination	1) 2) 3)	Clinical Quality Practice Efficiency Interoperable Exchange	ePhCP
RxREVU Inc.	 (1) Comprehensive Medication Management (i) Price Transparency at the Point of Care 	1) 2) 3)	Clinical Quality Cost Efficiency Interoperable Exchange	FHIR
University of Utah	(3) Care Coordination (ii) Close-Loop (surgical) Referrals	1) 2) 3)	Clinical Quality Cost Efficiency Practice Efficiency	SMART on FHIR



Standards Exploration Awards (SEA)

Awardee	Project	Budget
Arkansas Office of Health Information Technology	The Arkansas project will implement interoperable, bi-directional health information exchange with behavioral health providers.	\$84,052
Cincinnati Children's Hospital Medical Center	The Cincinnati project will explore the cost efficiencies of integrating healthcare and clinical research systems with the medical center's electronic health record (EHR). This will enable patient data from the EHR to be used for research as well as direct patient care more efficiently.	\$87,883
Sysbiochem	In collaboration with Boston Children's Hospital, Intermountain Healthcare, and Massachusetts General Hospital, Sysbiochem is developing services to facilitate the integrated flow of data between an EHR, Laboratory Informatics System and an analytic application to help clinicians coordinate care for breast cancer patients.	\$78,065



Standards Exploration Awards (SEA) – Continued

Awardee	Priority Category/ Subcategory	Impact Dimensions	Standards
Arkansas Office of Health Information Technology	(3) Care Coordination	1) Interoperable Exchange	CCD
Cincinnati Children's Hospital Medical Center	(4) Self-Identified	1) Cost Efficiency	RFD and FHIR
Sysbiochem	(4) Self-Identified - Genomics	 Clinical Quality Interoperable Exchange 	FHIR



QUESTIONS? ONC.TechLab@hhs.gov





PATIENT-CENTERED DATA HOME: ONE PATIENT'S JOURNEY

March 20, 2017



Patient-Centered Data Home (PCDH)

OBJECTIVE: MAKING CLINICAL DATA AVAILABLE WHENEVER AND WHEREVER CARE OCCURS



Acronyms

- ADT Admission Discharge Transfer
- CCD Continuity of Care Document
- HIE Health Information Exchange
- HL7 Health Level Seven
- PCDH Patient Centered Data Home

ABORATIVE

Healthcare Shouldn't Have Borders



- Patient-Centered Data Home (PCDH) addresses coordination of care
- Allows clinical data to be available when & where care occurs
- 46 million patients annually seek care outside their home state



Heartland Pilot: 7 Participants



- Indiana Health Information Exchange (IHIE)
- East Tennessee Health Information Network (etHIN)
- Great Lakes Health Connect (GLHC)
- HealthLINC (HL)
- Michiana Health Information Network (MHIN)
- Kentucky Health Information Exchange (KHIE)
- The Health Collaborative prime fiduciary



Heartland Pilot: Geographic Reach





THE HEALTH 🔆 COLLABORATIVE



THE HEALTH 🔆 COLLABORATIVE

Proposed Care Coordination Measurements



Safety

- Increase in allergy data available by sharing inter-HIE data via PCDH
- Privacy & Security
 - Patient matching rates improved when transacting between 2 or more HIEs
- Interoperable exchange
 - Measure how many ADT exchanges during the period, how many CCDs were exchanged between participating HIEs

Metric Targets Highlights

• ADTs Exchanged – 696,000

CCDs Exchanged – 249,000

• 35% improvement in patient matching rates



Technologies Used

- Connectivity
 - VPN, Web Services
- Messaging
 - HL7 ADT
 - HL7 CCD
 - IHE Profiles
 - ITI-38 Cross Gateway Query
 - ITI-39 Cross Gateway Retrieve
 - ITI-55 Cross Gateway Patient Discovery

ABORATIVE

Challenges & Opportunities

- Technical Challenges
 - Several HIEs going through technology upgrades or data platform changes
 - Variances in format, content of data exchanged and connectivity across the 7 HIEs. Joint specifications took time to complete
 - Specification changes occurred post testing
 - Variety of connectivity methods from VPN to WebServices
- Legal Challenges
 - Ensuring each HIE use of data was compliant with data sources
 - HIEs have a varying length of time to obtain agreement from sources

Interoperability In Action Day

PLEASE REFER TO SESSION RECORDING FOR PRESENTATION VIDEO

Thank You! Any Questions?

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Link to Video





INTEROPERABILITY IN ACTION DAY

March 20, 2017

Rick Geimer, CTO Lantana Consulting Group

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Our Mission:

- Improve healthcare through health information technology (IT)
- Lead the industry through our consulting and volunteer practice

Our Services:

- Software & standard development & implementation
- Terminology, data governance, and education
- Strategic advice for health IT planning, design, and purchasing

Rick Geimer

- Developer of standards & software
- HL7 C-CDA on FHIR Lead
- HL7 Structured Documents Co-chair
- Co-author C-CDA and many other specifications
- Day job: Lantana Chief Technology Officer (CTO)



- CDA: Clinical Document Architecture
- **C-CDA:** Consolidated CDA
- FHIR: Fast Healthcare Interoperability Resources
- PhCP: Pharmacist Care Plan
- CCNC: Community Care of North
 Carolina
- IG: Implementation Guide



Agenda

- Project Overview
- C-CDA and PhCP CDA IG
- C-CDA on FHIR and PhCP FHIR IG
- Bi-Directional Transforms
- Demo
- Next Steps
- Q/A



Award: To Lantana from The U.S. Department of Health and Human Services' (DHHS) Office of the National Coordinator for Health Information Technology (**ONC**) to conduct a High Impact Pilot (**HIP**)

Goals of the Project

- Create a new standard for electronic pharmacist care plans called "Pharmacist Care Plan", which is a further constraint on a standard in the Interoperability Standards Advisory (ISA).
- Integrate the pharmacist care plan into coordination efforts for patient care across the health continuum.
- Capture data that is currently missing from the EHR.

Lantana is partnering with the Community Care of North Carolina (CCNC) and six pharmacy management vendors for this pilot effort.

Success is measured by successfully transmitting pharmacist care plan data to CCNC such that they can load the data into their systems and use it to improve patient care.



- Improve practice efficiency
 - Eliminate duplication of documentation in multiple systems.
 - Incorporate comprehensive medication reviews (CMRs) into Care Plans so pharmacist can focus on high risk negative outcomes.
- Improve clinical quality
 - **Replace free-text narratives** of patient interactions with structured data.
 - Share structured data from patient interactions between providers, pharmacist, and payers.
 - **Gain access** to data that are currently unavailable to electronic health record systems (EHRs), such as pharmacist updates to goals, medications, and interventions.
- Support interoperable exchange
 - **Enable CCNC to receive** PhCPs from pharmacy management systems.
 - Provide validation against the specification.



Consolidated CDA

- Care Plan
- Consultation Note
- Continuity of Care (CCD)
- Diagnostic Imaging Report
- Discharge Summary
- History and Physical (H&P)
- Operative Note
- Procedure Note
- Progress Note
- Referral Note
- Transfer Summary
- Unstructured Document



Consolidate and harmonize various standalone documents into one master implementation guide for the primary care use case.



Pharmacist Care Plan for CDA

PhCP CDA IG

- Extends the C-CDA Care Plan for the pharmacy use case
- Constrains existing section and entry templates and adds others as needed

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C-CDA on FHIR

- Implement the C-CDA use case on top of HL7's hottest new standard (FHIR)
- Preserves the best parts of CDA and C-CDA without the overhead of HL7 V3
- Leverages the US Core project for coded data <u>http://hl7.org/fhir/us/</u> <u>core/index.html</u>

me Documentation Modules Resources Profiles Extensions Operations Service

C-CDA on FHIR Implementation Guide

C-CDA on FHIR Implementation Guide (IG)

Summary

C-CDA is one of the most videly implemented implementation guides for CDA and covers a significant scope of clinical care. Its target of the 'common/essential' elements of healthcare is closely aligned with FHIR's focus on the '600%'. There is significant interest in industry and government in the ability to interoperate between CDA and FHIR and C-CDA is a logical starting point. Implementers and regulators have both expressed an interest in the ability to map between FHIR and C-CDA.

This Implementation Guide defines a series of FHIR profiles on the Composition resource to represent the various document types in C-CDA. This release does not directly map every C-CDA template to FHIR profiles, rather tries to accomplish the C-CDA use case using Composition resource profiles created under this project (the equivalent of Level 2 CDA documents), and linking to the profiles created under the Data Access Framework (DAF) project for any coded entries that would normally be included in C-CDA sections. The hope is that this results in a simpler more streamlined standard that reuses existing work and focuses on the 80% that implementers actually need in production systems (the hope is that DAF represents that 80% needed for coded entries).

The Composition profiles in this IG do not require coded data in any section. This is a departure from C-CDA, which requires coded data for Problems, Results, Medications, etc. This departure is intentional, as the C-CDA requirement for coded one or more coded entries in these sections resulted in some very complicated vorkarounds using nullFlavors to handle the fact that sometimes a patient is not on any medications, or has no current problems. In general, FHIR takes the approach that if something is nullable, it should simply be optional to ease the burden on implementers, thus C-COA on FHIR does not require any coded entries, but rather uses the "required if known" approach, meaning that if an implementer's system has data for a section that requires data under Meaningful Use, they need to sent it, but if they have no data there is no need for a null entry.

We encourage feedback on these Composition profiles, and the general approach to the project as a whole. We also encourage implementers who wish to see more of the coded data from C-CDA mapped to FHIR to comment on the DAF project and make their requests known there. Once DAF creates new profiles, this project can reference them.

Scope

To represent Consolidated CDA Templates for Clinical Notes (C-CDA) 2.1 templates using FHIR profiles. This first stage of the project defines all the C-CDA document-level profiles on the Composition resource and contained sections.

Any coded data used by sections will be accomplished by referencing relevant U.S. Data Access Framework (DAF) FHIR profiles.

Resource Profiles

This guide defines the following profiles.

Profile Name	Description
C-CDA on	This profile defines constraints that represent common administrative and demographic concepts for US Realm clinical
FHIR US	documents. Further specification, such as type, are provided in document profiles that conform to this profile.





Pharmacist Care Plan for FHIR

PhCP FHIR IG

- Extends C-CDA on FHIR Care Plan document
- Adds Payers section
- Uses US Core and unprofiled resources for coded data
- Final version likely to need resource profiles beyond US Core

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Interoperability In Action Day

PLEASE REFER TO SESSION RECORDING FOR PRESENTATION DEMO

Goal: Convert PhCP CDA documents to PhCP FHIR Documents

Status

- Completed C-CDA Care Plan to C-CDA FHIR Care
 Plan for narrative documents
- Completed most commonly used resources (Condition, MedicationRequest, etc.)
- Completed Pharmacist/CCNC Connectathon
- Needs testing with live data
- Complete resource transforms for lesser used resources and edge cases
- Update for FHIR STU3 and C-CDA on FHIR after publication



CDA ↔ FHIR Transforms Demo





- **Pilot** the PhCP CDA and FHIR implementations using live data
- **Update** the CDA and FHIR Implementation Guides (IGs) and transforms based on pilot results
- **Update** the FHIR IG and transforms for FHIR STU3 (April) and the final version of C-CDA on FHIR (June)
- Prepare both IGs for an HL7 ballot
 - Note: the ballot itself is not in scope for this project



Be a Champion

- Serve as the champion health IT leader in your practice.
- Get to know your vendor product and provide feedback on ways to improve interoperability; identify gaps to improve feasibility of standards.

Get Involved

- Share your clinical expertise at your local HIMSS meetings.
- Volunteer as a clinical expert on an HL7 Work Group (e.g., pharmacy, child health, clinical decision support, patient care)

Stay Abreast of Industry Changes

- Attend webinars and obtain continuing education credits (CEUs) on health IT topics.
 - CMS Medical Learning Network (MLN) national provider calls and web-based trainings
 - AHRQ continuing education courses
- Participate in Federal Advisory Committee (FACA) public web conferences by the Health IT Policy Committee and the Health IT Standards Committee.



Organizations and Events

- **HIMSS** Local Chapters: <u>http://www.himss.org/himss-local-chapters-0</u>
- HL7 Work Groups: <u>http://www.hl7.org/Special/committees/index.cfm?ref=common</u>
- CMS MLN: <u>https://www.cms.gov/Outreach-and-Education/Medicare-Learning-Network-MLN/MLNGenInfo/index.html</u>
- AHRQ Courses: <u>http://ahrq.cmeuniversity.com/</u>
- FACA web conferences: <u>https://www.healthit.gov/FACAS/federal-advisory-</u> committees-facas

Contacts

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 - Rick Geimer (Technical Lead): <u>rick.geimer@lantanagroup.com</u>



RXREVU Prescription Decision Support (PDS) at Banner Health

Wes Blakeslee, PhD Director of Clinical Pharmacology RxRevu, Inc. weston.blakeslee@rxrevu.com

Agenda

- What is Prescription Decision Support?
- Overview of Banner Health
- SMART-on-FHIR
- Research Design
- Implementation

One poorly informed prescription decision can have startling consequences...

Drug Cost Driving Total Cost of Care

\$457 Billion

Prescription drug spending is now 16.7% of total healthcare spending projected to increase at 6.7% to 2025

Unsustainable Administrative Burden 600 Million

Pharmacy callbacks and thousands of hours wasted on prior authorizations and correcting prescription errors

Poor Patient Satisfaction

#1 Issue

Patient #1 Issue Medication costs. 110 million prescriptions are abandoned at the pharmacy per year

1% of Decisions Driving 31.8% of Rx Costs

Prescribers are challenged to make the most informed decision

60% of providers are asked to follow specific pathways to guide prescribing decisions. Yet, less than 10% regularly comply.

Why aren't more prescribers following protocols?

TIME

The right data is not available without leaving their EHR workflow

ACCESS

Lack of access to guidelines at the point of prescribing

RxCheck PDS Platform



- ✓ Enable Consistent Prescribing
- ✓ Optimize Pharmacy Spend
- ✓ Improve Patient Care
- ✓ Persistent Reporting of Prescriber Behavior





- » 29 Acute Care and Critical Access Hospitals
- » Behavioral Hospital
- » Banner Health Network
- » Banner Network Colorado
- » Banner Medical Group and Banner – University Medical Group with more than 1,500 physicians and advanced practitioners and more than 200 Banner Health Centers and Clinics
- » Outpatient Surgery
- » Banner University Medicine division
- » \$5.4 billion in revenue, 2014
- » AA- bond rating
- » \$457 million in community benefits, including \$84 million in charity care, 2014

Banner at a Glance





SMART-on-FHIR

• Platform for creating apps within an EHR

Enables vendors to create "substitutable" apps

• Cerner Ignite APIs



FHIR Example Resources

• • • •	AllergyIntolerance Condition (Problem) Procedure ClinicalImpression FamilyMemberHistory RiskAssessment DetectedIssue	 CarePlan Goal ReferralRequest ProcedureRequest NutritionOrder VisionPrescription Patient
• • • •	Medication MedicationOrder MedicationAdministration MedicationDispense MedicationStatement Immunization ImmunizationRecommendation	 Observation DiagnosticReport DiagnosticOrder Specimen BodySite ImagingStudy ImagingObjectSelection

Research Design

- Deploy RxCheck within Cerner Millennium at Banner Health Network
 - Primary care providers
 - BCBSAZ Medicare BlueAdvantage patient population
- Impact Dimension #1 Clinical Quality
 - Increase medication adherence by 1% (PDC)
- Impact Dimension #2 Cost Efficiency
 - Decrease PMPM medication spend by 1%
- Impact Dimension #3 Interoperable Exchange
 - Consistent queries of FHIR

Phase 1 (Q2 2017) – RxCheck Medication Explorer

Medication Reference Tool

- Medication search tool in workflow
- Cost transparency
- Order creation directly from RxCheck

Therapeutic Alternatives

- Suggest lower cost alternatives based on plan design
- Reduce medication costs for the patient
- Improve patient satisfaction

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CRESTOR (ROSUVASTATIN) 10 MG TABLET

Choose Dosage

10 mg tablet

Choose Quantity

Typical Monthly Quantity (30)

View Cost by: Cash	This is a label that would appear in dropdown
Fulfillment Options for Crestor (rosuvastatin) 10 mg tablet	Cost
⊘ Banner Pharmacies	\$273 per month
90-Day Mail Order	\$284 per month

Alternatives

Q

Fulfillment Options for simvastatin 10 mg tablet	Cost
⊘ Banner Pharmacies	\$7 per month 1
90-Day Mail Order	\$5 per month (1)
Fulfillment Options for atorvastatin 40 mg tablet	Cost
Fulfillment Options for atorvastatin 40 mg tablet Ø Banner Pharmacies	Cost \$10 per month 3



Phase 2 (Q3 2017) – RxCheck Medication Review

Medication Reconciliation

- Analyze currently prescribed medications to optimize patient outof-pocket costs and standardize prescribing
- Correct prescribing errors
- Improved workflow efficiency vs. Medication Explorer

Medication Adherence

- Identify patients with poor adherence using patient-specific information
- Support CMS Star Rating for adherence

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SMART Premier KDIGO								
SMART RxCheck	A This patient is over the recommended age for t	his medication.						
SMART UpToDate Search								
SMART VisualDx	A This medication is not recommended for Black	or African American patients.						
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RXREVU Prescription Decision Support (PDS) at Banner Health

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Supporting closed-loop surgical referrals with a SMART on FHIR Dashboard

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> Interoperability in Action Day March 20, 2017

SURGICAL TRANSITIONS OF CARE

- Ensuring that health information is shared effectively between surgical and primary care providers (PCPs) during transitions of surgical care is critical to care coordination.
- Surgeons and PCPs typically are located in separate care settings, communicate vie the electronic health record (EHR) and have different expectations of clinical care goals



LIMITATION OF HEALTH IT

- Care coordination within existing health information technology (IT) systems relies on one-way information exchange, such as reading clinical notes within EHR.
- Health IT systems are not fully interoperable and do not allow for PCPs and surgical providers caring for the same patient to share a mental model of clinical care goals.
- Health IT systems do not allow for the communication loop to be closed between PCPs and surgical providers during the surgical referral episode.



PROJECT OBJECTIVES

- To develop surgical referral dashboard using SMART on FHIR allowing PCPs and surgeons to share mental model of patient care & support care coordination across entire care episode.
- To implement the surgical referral dashboard within the EPIC EHR system at the University of Utah Health System and pilot use among PCPs and Vascular Surgeons during the referral and follow-up period after surgery.
- To conduct an interoperability assessment using the SMART on FHIR implementation within a different EHR system (Cerner) at Intermountain Health Care.



PROJECT OBJECTIVES

To develop surgical referral dashboard using SMART on FHIR allowing PCPs and surgeons to share mental model of patient

> **ONC HIP Priority Categories =** Care Coordination & Closed Loop Referral

To conduct an interoperability assessment using the SMART on FHIR implementation within a different EHR system (Cerner) at Intermountain Health Care.



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IMPACT DIMENSIONS & PERFORMANCE METRICS

Impact Dimension	Performance Metric
Clinical Quality	Unplanned hospital readmission and ED visits within 30-days of discharge
Cost Efficiency	Redundant laboratory tests and imaging studies ordered by surgical providers when patient had the same test performed within 30-days
Practice Efficiency	Total encounter time in clinic during the Vascular Surgery pre-operative visit



Baseline Measure

14.8%

8.3%

86.3 min

DESIGN PROCESS

- Interviews (47 PCPs, surgeons, patients)
- Low-fidelity dashboard prototypes
- Usability heuristics
- Usability sessions with 2 case vignettes and 4 different surgeons
- Iterative design and refinement









PCP follow-up

REFERRAL REQUEST

UNIVERSITY OF UTAH

		0.4.P.P.4011-0.	
Reterrol request Jan 15th 2016 Reason for referral* e.g., evaluation for AAA *Refer to Goals of care: Concerns: Special instructions:	Select important information the su Problems (select most relevant) Diabetes mellitus type 2 Hypertension Osteoarthritis of the knee Imaging (select most relevant) CT scan of the abdomen Chest X-ray, 1 view front Knee MRI	Argery team should not miss Labs (select most relevant) HbA1c 6 days BMP 6 days CBC 6 days Lipid panel 180 days 30 days 180 days 380 days	
atio B01-581-3344 (To contact the patient's PCP Mon-Fri from 8am - 4pm) 801-581-2233 (For patient appointments & scheduling issues from 8am - 4pm) Iten ferrals	Functional status Clinical frailty scale Comments e.g., uses a walker	Save Submit r	refei



INTEROPERABILITY ARCHITECTURE





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EHR DB

FHIR RESOURCES

- US Core Encounter
 - US Core Patient
 - Indication: US Core Procedure
 - Participant: Practitioner
- Care Plan





- <u>Design</u>: completed
- <u>Mappings</u>: completed
- Development:
 - Surgery discharge, PCP follow-up: completed
 - Surgery request, pre-surgery visit: ongoing
 - Epic integration: ongoing



Interoperability In Action Day

PLEASE REFER TO SESSION RECORDING FOR PRESENTATION DEMO

QUESTIONS & CONTACT INFO

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